

SECURITIZATION MORTGAGE AUDIT QUESTIONNAIRE
(If you cannot remember just leave blank)

Present Lender Name: _____

Lender Loan/Account #: _____

Is this a refinance or a purchase loan? Refinance / Purchase

Borrower's Social Security Number (Needed for Securitization Audits Only): _____

Did you provide the Mortgage? Yes / No / N/A

Did you provide the Note? Yes / No / N/A

Did you provide collection notices (i.e., Affidavits, Notice of Defaults, Assignment, etc.)? Yes / No / N/A

Did you check Fannie Mae & Freddie Mac websites to see if your loan is not owned by them? Yes / No / N/A

Would you like an Attorney Consultation or Referral? Yes / No / N/A

Date of Closing:

Is MERS involved: ___ YES / ___ NO [If yes please provide the MIN #] -

Is the Loan a: ___ First or ___ Second

Is the loan a HELOC? ___ YES / ___ NO

Type of Housing: ___ Condo ___ Single Family ___ PUD ___ Commercial

Type of Loan: ___ Purchase ___ Refinance ___ Equity takeout

Type of Purchase: ___ Investment ___ Owner Occupied

Please scan and email a complete copy of the following documents for the property.

- | | |
|--|---|
| <ul style="list-style-type: none">• Deed of Trust or Mortgage• Note or Rider• Assignment of Deed or Mortgage• Substitution of Trustee | <ul style="list-style-type: none">• Notice of Default• Notice of Trustee's Sale• Deed Upon Trustee's Sale |
|--|---|

**REQUIRED DOCUMENT FORENSIC AUDIT CHECKLIST FORM –
Appendix B to Customer Agreement**

Forensic Mortgage Disclosure Audit

- Customer Agreement (Optional)
- Mortgage Audit Questionnaire

***Bolded ones** are most important, but provide what you can...

Send IMPORTANT Documents – below is a list of some of the key documents:

- Affiliated Business Arrangement Disclosure
- **Appraisal at the time of Application – (please provide if you think your appraisal was done in error)**
- CHARM Disclosure (if ARM Loan)
- **Collection Notice/Correspondences from Lender’s Attorney or Sheriff - if any**
- **Court Case Number and Name of Court**
- Credit Score Disclosures
- ECOA Statement - Equal Credit Opportunity
- Escrow Analysis
- Good Faith Estimate(s)
- High Cost Mortgage Disclosures
- Home Equity Brochure (for open-end line of credit)
- **HUD1 and Addendums from Closing**
- Investigative Consumer Report Disclosure
- Last Escrow Annual Statement
- **Mortgage / Deed of Trust / Security Instrument**
- **Most Recent Mortgage Statement**
- **Note**
- **Note Addendum and Modification**
- Notice of Adverse Action
- Privacy Policy Notice
- RESPA Servicing Transfer Notice
- Right of Rescission or Right to Cancel Document
- Survey or platt
- Title Policy or Title Commitment
- Truth-In-Lending Disclosure (at application)
- **Truth-In-Lending Disclosure (at closing)**
- **Uniform Residential Mortgage Application (Form 1003) – All versions**
- W2s, 1099s, and Tax Returns at the time of Application

**The closing documents can be ordered if your client cannot provide some of the above items